

Consortium Agreement

“Participatory Engagement with Scientific and Technological Research through Performance”

PERFORM

665826

H2020

Research and Innovation actions

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Table of content

- CONSORTIUM AGREEMENT6

- [Section 1: Definitions.....7](#)

 - [Definitions.....7](#)
 - [1.2 Additional Definitions.....7](#)

- [Section 2: Purpose.....8](#)

- [Section 3: Entry into force, duration and termination.....8](#)

 - [3.1 Entry into force.....8](#)
 - [3.2 Duration and termination.....8](#)
 - [3.3 Survival of rights and obligations.....9](#)

- [Section 4: Responsibilities of Parties.....9](#)

 - [4.1 General principles.....9](#)
 - [4.3 Breach10](#)
 - [4.4 Involvement of third parties.....10](#)

- [Section 5: Liability towards each other.....11](#)

 - [5.1 No warranties.....11](#)
 - [5.2 Limitations of contractual liability.....11](#)
 - [5.3 Damage caused to third parties.....11](#)
 - [5.4 Force Majeure.....11](#)

- [Section 6: Governance Structure.....12](#)

 - [6.1 General structure.....12](#)

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Version 1.1, May 2014

6.2 General Assembly	12
6.3 Operational procedures for the General Assembly	13
6.4 Coordinator	16
6.5 Coordination Team	17
6.6 Advisory Board (AB)	17
6.7 Meetings	18
Section 7: Financial provisions	18
7.1 General Principles	18
7.1.3. Reports	19
7.2 Budgeting	20
Section 8: Results	22
8.0 Ownership of Results	22
8.1 Joint ownership	22
8.2 Transfer of Results	22
8.3 Dissemination	23
Section 9: Access Rights	25
9.1 Background included	25
9.2 General Principles	26
9.3 Access Rights for implementation	26
9.4 Access Rights for Exploitation	26
9.5 Access Rights for Affiliated Entities	27
9.6 Additional Access Rights	27
9.7 Access Rights for Parties entering or leaving the consortium	27

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Version 1.1, May 2014

- [9.8 Specific Provisions for Access Rights to Software.....28](#)
- [Section 10: Non-disclosure of information.....28](#)
- [Section 11: Miscellaneous.....30](#)
 - [11.1 Attachments, inconsistencies and severability.....30](#)
 - [11.2 No representation, partnership or agency30](#)
 - [11.3 Notices and other communication.....31](#)
 - [11.4 Assignment and amendments.....31](#)
 - [11.5 Mandatory national law.....31](#)
 - [11.6 Language.....32](#)
 - [11.7 Applicable law.....32](#)
 - [11.8 Settlement of disputes.....32](#)
- [Section 12: Signatures.....33](#)
- [Attachment 1: Background included.....45](#)
- [Attachment 2: Accession document.....47](#)
- [Attachment 3: List of Third Parties for simplified transfer according to Section 8.2.2. . 48](#)

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Version 1.1, May 2014

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Version 1.1, May 2014

CONSORTIUM AGREEMENT

THIS CONSORTIUM AGREEMENT is based upon REGULATION (EU) No 1290/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 11 December 2013 laying down the rules for the participation and dissemination in “Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020)” (hereinafter referred to as “the Rules”), and the European Commission Multi-beneficiary General Model Grant Agreement and its Annexes, and is made on 1 April 2015 hereinafter referred to as the Effective Date

BETWEEN:

Universitat Autònoma de Barcelona (UAB) (the Coordinator)
The Big Van Theory (TBVT)
University of Bristol (UoB)
Science Made Simple (SMS)
University of Warwick (UoW)
L’Atelier des Jours à Venir (AJA)
Les Atomes Crochus (LAC)
United Nations Educational, Scientific Cultural Organisation (UNESCO)
European Science Events Association (EUSEA)

hereinafter, jointly or individually, referred to as “Parties” or “Party”

relating to the Action entitled
Participatory Engagement with Scientific and Technological Research through Performance

in short

PERFORM

hereinafter referred to as “Project”

WHEREAS:

The Parties, having considerable experience in the field concerned, have submitted a proposal for the Project to the Funding Authority as part of the Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020)

The Parties wish to specify or supplement binding commitments among themselves in addition to the provisions of the specific Grant Agreement to be signed by the Parties and the EC (hereinafter “Grant Agreement”).

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The Parties are aware that this Consortium Agreement is based upon the DESCA model consortium agreement

NOW, THEREFORE, IT IS HEREBY AGREED AS FOLLOWS:

Section 1: Definitions

Definitions

Words beginning with a capital letter shall have the meaning defined either herein or in the Rules or in the Grant Agreement including its Annexes.

1.2 Additional Definitions

"Consortium Plan"

Consortium Plan means the description of the action and the related agreed budget as first defined in the Grant Agreement and which may be updated by the General Assembly.

"Funding Authority"

Funding Authority means the body awarding the grant for the Project.

"Defaulting Party"

Defaulting Party means a Party which the General Assembly has identified to be in breach of this Consortium Agreement and/or the Grant Agreement as specified in Section 4.3 of this Consortium Agreement.

"Needed"

means:

For the implementation of the Project:

Access Rights are Needed if, without the grant of such Access Rights, carrying out the tasks assigned to the recipient Party would be impossible, significantly delayed, or require significant additional financial or human resources.

For exploitation of own Results:

Access Rights are Needed if, without the grant of such Access Rights, the Exploitation of own Results would be technically or legally impossible.

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Version 1.1, May 2014

“Software”

Software means sequences of instructions to carry out a process in, or convertible into, a form executable by a computer and fixed in any tangible medium of expression.

Section 2: Purpose

The purpose of this Consortium Agreement is to specify with respect to the Project the relationship among the Parties, in particular concerning the organisation of the work between the Parties, the management of the Project and the rights and obligations of the Parties concerning inter alia liability, Access Rights and dispute resolution.

Section 3: Entry into force, duration and termination

3.1 Entry into force

An entity becomes a Party to this Consortium Agreement upon signature of this Consortium Agreement by a duly authorised representative.

This Consortium Agreement shall have effect from the Effective Date identified at the beginning of this Consortium Agreement.

An entity becomes a Party to the Consortium Agreement upon signature of the accession document (Attachment 2) by the new Party and the Coordinator. Such accession shall have effect from the date identified in the accession document.

The Parties acknowledge and agree that the Universitat Oberta de Catalunya may become a Party to the Consortium Agreement as Coordinator, upon compliance with the corresponding provisions of the Grant Agreement.

3.2 Duration and termination

This Consortium Agreement shall continue in full force and effect until complete fulfilment of all obligations undertaken by the Parties under the Grant Agreement and under this Consortium Agreement.

However, this Consortium Agreement or the participation of one or more Parties to it may be terminated in accordance with the terms of this Consortium Agreement.

If the Grant Agreement

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- is not signed by the Funding Authority or a Party, or
- is terminated, or
- if a Party's participation in the Grant Agreement is terminated,

this Consortium Agreement shall automatically terminate in respect of the affected Party/ies, subject to the provisions surviving the expiration or termination under Section 3.3 of this Consortium Agreement.

3.3 Survival of rights and obligations

The provisions relating to Access Rights and Confidentiality, for the time period mentioned therein, as well as for Liability, Applicable law and Settlement of disputes shall survive the expiration or termination of this Consortium Agreement.

Termination shall not affect any rights or obligations of a Party leaving the Consortium incurred prior to the date of termination, unless otherwise agreed between the General Assembly and the leaving Party. This includes the obligation to provide all input, deliverables and documents for the period of its participation.

Section 4: Responsibilities of Parties

4.1 General principles

Each Party undertakes to take part in the efficient implementation of the Project, and to cooperate, perform and fulfil, promptly and on time, all of its obligations under the Grant Agreement and this Consortium Agreement as may be reasonably required from it and in a manner of good faith as prescribed by Belgian law except for UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION – UNESCO for which the Belgian law does not apply.

Each Party undertakes to notify promptly, in accordance with the governance structure of the Project, any significant information, fact, problem or delay likely to affect the Project.

Each Party shall promptly provide all information reasonably required by a Consortium Body or by the Coordinator to carry out its tasks.

Each Party shall take reasonable measures to ensure the accuracy of any information or materials it supplies to the other Parties.

4.2 Proactivity

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Each Party, including in particular the Work Package Leaders, undertakes to notify promptly the Coordinator of any significant information, fact, problem or delay likely to affect the Project. In particular any Party who foresees any deviation from the Consortium Plan in terms of timing, delivery or performance must notify the Coordinator as soon as possible. Deviations will also be reviewed as part of the project updates at the periodic Project meetings (Steering Committee meetings or General Assembly meetings). In the event that such deviations may impact the Consortium Plan, the Coordinator will escalate the issue to the Steering Committee.

In addition, the Parties shall notify the Coordinator and resolve as far as possible within the Work Package meetings and Project meetings all issues relating to non-financial resources (human resources, equipment, facilities, and contributed works).

The Coordinator, through the Project Leader, is charged with supervising the Monitoring and Quality Control in accordance with Section 2.1.4 of the Description of Work (Annex I). Notwithstanding the foregoing, each Partner is ultimately responsible for its own quality control and monitoring of its own performance and results of its tasks within the project.

4.3 Breach

In the event that a responsible Consortium Body identifies a breach by a Party of its obligations under this Consortium Agreement or the Grant Agreement (e.g. improper implementation of the project), the Coordinator or, if the Coordinator is in breach of its obligations, the Party appointed by the General Assembly, will give formal notice to such Party requiring that such breach will be remedied within 30 calendar days

If such breach is substantial and is not remedied within that period or is not capable of remedy, the General Assembly may decide to declare the Party to be a Defaulting Party and to decide on the consequences thereof which may include termination of its participation.

4.4 Involvement of third parties

A Party that enters into a subcontract or otherwise involves third parties (including but not limited to Affiliated Entities) in the Project remains responsible for carrying out its relevant part of the Project and for such third party's compliance with the provisions of this Consortium Agreement and of the Grant Agreement. It has to ensure that the involvement of third parties does not affect the rights and obligations of the other Parties under this Consortium Agreement and the Grant Agreement.

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Section 5: Liability towards each other

5.1 No warranties

In respect of any information or materials (incl. Results and Background) supplied by one Party to another under the Project, no warranty or representation of any kind is made, given or implied as to the sufficiency or fitness for purpose nor as to the absence of any infringement of any proprietary rights of third parties.

Therefore,

- the recipient Party shall in all cases be entirely and solely liable for the use to which it puts such information and materials, and
- no Party granting Access Rights shall be liable in case of infringement of proprietary rights of a third party resulting from any other Party (or its Affiliated Entities) exercising its Access Rights.

5.2 Limitations of contractual liability

No Party shall be responsible to any other Party for any indirect or consequential loss or similar damage such as, but not limited to, loss of profit, loss of revenue or loss of contracts, provided such damage was not caused by a wilful act or by a breach of confidentiality.

A Party's aggregate liability towards the other Parties collectively shall be limited to the Party's share of the total costs of the Project as identified in Annex 2 of the Grant Agreement provided such damage was not caused by a wilful act or gross negligence.

The terms of this Consortium Agreement shall not be construed to amend or limit any Party's statutory liability.

5.3 Damage caused to third parties

Each Party shall be solely liable for any loss, damage or injury to third parties resulting from the performance of the said Party's obligations by it or on its behalf under this Consortium Agreement or from its use of Results or Background.

5.4 Force Majeure

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No Party shall be considered to be in breach of this Consortium Agreement if it is prevented from fulfilling its obligations under the Consortium Agreement by Force Majeure.

Each Party will notify the competent Consortium Bodies of any Force Majeure without undue delay. If the consequences of Force Majeure for the Project are not overcome within 6 weeks after such notification, the transfer of tasks - if any - shall be decided by the competent Consortium Bodies.

Section 6: Governance Structure

6.1 General structure

The organisational structure of the Consortium shall be according to Section 3.2 of the Proposal, comprising the following Consortium Bodies:

The **General Assembly** is the ultimate decision-making body of the consortium.

The **Steering Committee** is the general management body of the consortium.

The **Coordinator** is the legal entity acting as the intermediary between the Parties and the Funding Authority. The Coordinator shall, in addition to its responsibilities as a Party, perform the tasks assigned to it as described in the Grant Agreement and this Consortium Agreement.

The **Coordination Team** assists the General Assembly, the Steering Committee and the Coordinator.

Each work package is led by a **Work Package Leader**.

The roles and responsibilities of the Consortium Bodies are specified in the Proposal (section 3.2 – Management structures and procedures).

6.2 General Assembly

6.2.1 The General Assembly shall consist of one representative of each Party (hereinafter referred to as “Member”).

6.2.2 Each Member shall be deemed to be duly authorised to deliberate, negotiate and decide on all matters listed in Section 6.3.6 of this Consortium Agreement.

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6.2.3 The Coordinator shall chair all meetings of the General Assembly, unless decided otherwise by the General Assembly in accordance with Section 6.3.3.4.

6.2.4 The Parties agree to abide by all decisions of the General Assembly. This does not prevent the Parties from submitting a dispute for resolution in accordance with the provisions of settlement of disputes in Section 11.8 of this Consortium Agreement.

6.3 Operational procedures for the General Assembly

6.3.1 Representation in meetings

Any Member:

- a. should be present or represented at any meeting;
- b. may appoint a substitute or a proxy to attend and vote at any meeting;
- c. and shall participate in a cooperative manner in the meetings.

6.3.2 Preparation and organisation of meetings

6.3.2.1 Convening meetings:

The chairperson shall convene ordinary meetings of the General Assembly.

	Ordinary meeting	Extraordinary meeting
General Assembly	At least once a year	At any time upon written request of at least two Members of the General Assembly

6.3.2.2 Notice of a meeting:

The chairperson shall give notice in writing of a meeting to each Member as soon as possible and no later than the minimum number of days preceding the meeting as indicated below.

	Ordinary meeting	Extraordinary meeting
General Assembly	14 calendar days	7 calendar days

6.3.2.3 Sending the agenda:

The chairperson shall send each Member a written original agenda no later than the minimum number of days preceding the meeting as indicated below.

	Ordinary meeting	Extraordinary meeting
General Assembly	14 calendar days	7 calendar days

6.3.2.4 Adding agenda items:

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Any agenda item requiring a decision by the Members must be identified as such on the agenda.

Any Member may add an item to the original agenda by written notification to all of the other Members no later than the minimum number of days preceding the meeting as indicated below.

	Ordinary meeting	Extraordinary meeting
General Assembly	7 calendar days	4 calendar days

6.3.2.5 During a meeting of the General Assembly the Members present or represented can unanimously agree to add a new item to the original agenda.

6.3.2.6 Any decision may also be taken without a meeting if the chairperson circulates to all Members a written document which is then signed by the defined majority of Members (see Section 6.3.3 of this Consortium Agreement). Such document shall include the deadline for responses and shall not come into effect until the period for exercising any veto under Section 6.3.4.2 has expired (15 days from sending the written document) and no veto has been presented.

6.3.2.7 Meetings of the General Assembly may also be held by teleconference or other telecommunication means.

6.3.2.8 Decisions will only be binding once the relevant part of the minutes has been accepted according to Section 6.3.5 of this Consortium Agreement.

6.3.2.9 Although the previous tables set out the minimum notice period for meetings, the Project shall endeavour to ensure that all notices of meetings are sent at least 1 month prior to the meeting.

6.3.3 Voting rules and quorum

6.3.3.1 The General Assembly shall not deliberate and decide validly unless two-thirds (2/3) of its Members are present or represented (quorum).

6.3.3.2 Each Member shall have one vote.

6.3.3.3 Defaulting Parties may not vote.

6.3.3.4 Decisions shall be taken by a majority of two-thirds (2/3) of the votes cast.

6.3.4 Veto rights

6.3.4.1 A Member which can show that its own work, time for performance, costs, liabilities, intellectual property rights or other legitimate interests would be severely affected by a decision of the General Assembly may exercise a veto with respect to the corresponding decision or relevant part of the decision.

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6.3.4.2 When the decision is foreseen on the original agenda, a Member may veto such a decision during the meeting only. When the decision is proposed to be taken in writing under Section 6.3.2.6, a Member may veto the decision by written notice to the chairperson within 15 days of receiving the written document with the proposed resolution.

6.3.4.3 When a decision has been taken on a new item added to the agenda before or during the meeting, a Member may veto such decision during the meeting and within 15 days after the draft minutes of the meeting are sent.

6.3.4.4 In case of exercise of veto, the Members shall make every effort to resolve the matter which occasioned the veto to the general satisfaction of all Members.

6.3.4.5 A Party may not veto decisions relating to its identification as a Defaulting Party. The Defaulting Party may not veto decisions relating to its participation and termination in the consortium or the consequences of them.

6.3.4.6 A Party requesting to leave the consortium may not veto decisions relating thereto.

6.3.5 Minutes of meetings

6.3.5.1 The chairperson shall produce written minutes of each meeting which shall be the formal record of all decisions taken. He shall send draft minutes to all Members within 10 calendar days of the meeting.

6.3.5.2 The minutes shall be considered as accepted if, within 15 calendar days from sending, no Member has sent an objection in writing to the chairperson with respect to the accuracy of the draft of the minutes.

6.3.5.3 The chairperson shall send the accepted minutes to all the Members of the General Assembly, and to the Coordinator, who shall safeguard them. If requested the Coordinator shall provide authenticated duplicates to Parties.

6.3.6 Decisions of the General Assembly

The General Assembly shall be free to act on its own initiative to formulate proposals and take decisions in accordance with the procedures set out herein.

The following decisions shall be taken by the General Assembly:

Content, finances and intellectual property rights

- a. Proposals for changes to Annexes 1 and 2 of the Grant Agreement to be agreed by the Funding Authority
- b. Changes to the Consortium Plan
- c. Modifications to Attachment 1 (Background Included)

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- d. Additions to Attachment 3 (List of Third Parties for simplified transfer according to Section 8.2.2)

Evolution of the consortium

- a. Entry of a new Party to the consortium and approval of the settlement on the conditions of the accession of such a new Party
- b. Withdrawal of a Party from the consortium and the approval of the settlement on the conditions of the withdrawal
- c. Identification of a breach by a Party of its obligations under this Consortium Agreement or the Grant Agreement
- d. Declaration of a Party to be a Defaulting Party
- e. Remedies to be performed by a Defaulting Party
- f. Termination of a Defaulting Party's participation in the consortium and measures relating thereto
- g. Proposal to the Funding Authority for a change of the Coordinator
- h. Proposal to the Funding Authority for suspension of all or part of the Project
- i. Proposal to the Funding Authority for termination of the Project and the Consortium Agreement

Appointments

Agree on the Members of the Coordination Team, upon a proposal by the Coordinator.

In the case of abolished tasks as a result of a decision of the General Assembly, Members shall rearrange the tasks of the Parties concerned. Such rearrangement shall take into consideration the legitimate commitments taken prior to the decisions, which cannot be cancelled.

6.4 Coordinator

6.4.1 The Coordinator shall be the intermediary between the Parties and the Funding Authority and shall perform all tasks assigned to it as described in the Grant Agreement and in this Consortium Agreement.

6.4.2 In particular, the Coordinator shall be responsible for:

- a. Monitoring compliance by the Parties with their obligations
- b. Keeping the address list of Members and other contact persons updated and available

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- c. Collecting, reviewing and submitting information on the progress of the Project and reports and other deliverables (including financial statements and related certification) to the Funding Authority
- d. Preparing the meetings, proposing decisions and preparing the agenda of General Assembly meetings, chairing the meetings, preparing the minutes of the meetings and monitoring the implementation of decisions taken at meetings
- e. Transmitting promptly documents and information connected with the Project, ,
- f. Administering the financial contribution of the Funding Authority and fulfilling the financial tasks described in Section 7.2
- g. Providing, upon request, the Parties with official copies or originals of documents which are in the sole possession of the Coordinator when such copies or originals are necessary for the Parties to present claims.
- h. If one or more of the Parties is late in submission of any project deliverable, the Coordinator may nevertheless submit the other parties' project deliverables and all other documents required by the Grant Agreement to the Funding Authority in time.

6.4.3 If the Coordinator fails in its coordination tasks, the General Assembly may propose to the Funding Authority to change the Coordinator.

6.4.4 The Coordinator shall not be entitled to act or to make legally binding declarations on behalf of any other Party or of the consortium, unless explicitly stated otherwise in the Grant Agreement or this Consortium Agreement.

6.4.5 The Coordinator shall not enlarge its role beyond the tasks specified in this Consortium Agreement and in the Grant Agreement.

6.5 Coordination Team

The Coordination Team shall be proposed by the Coordinator. It shall be appointed by the General Assembly and shall assist and facilitate the work of the General Assembly.

The Coordination Team shall provide assistance to the Coordinator for executing the decisions of the General Assembly. It shall be responsible for the day-to-day management of the Project.

6.6 Advisory Board (AB)

An Advisory Board (AB) will be appointed and steered by the Steering Committee. The AB shall act as an external and independent reviewer of the project and assist and facilitate the decisions made by the Steering Committee, providing strategic advice on the research directions of the project as well as facilitating the networking and exchanges with related initiatives. The Coordinator is authorised to execute with each member of the AB a non-disclosure agreement, which terms shall be not less stringent than those stipulated in this Consortium Agreement, no later than 30 days after their nomination or before any

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confidential information will be exchanged, whichever date is earlier. The Coordinator shall write the minutes of the AB meetings and prepare the implementation of the AB's suggestions. The AB members shall be allowed to participate in Steering Committee meetings upon invitation but have not any voting rights. The Advisory Board solely acts as a consulting body and does not have any decision-making power.

6.7 Meetings

Within 2 months of project start, the Coordinator shall circulate a schedule of proposed meetings for the Project, at General Assembly, Steering Committee and Work Package levels. This schedule shall be updated and confirmed at each meeting and shared with all the partners.

Section 7: Financial provisions

7.1 General Principles

7.1.1 Distribution of Financial Contribution

The financial contribution of the Funding Authority to the Project shall be distributed by the Coordinator according to:

- a. the Consortium Plan
- b. the approval of reports by the Funding Authority, and
- c. the provisions of payment in Section 7.3.

A Party shall be funded only for its tasks carried out in accordance with the Consortium Plan.

7.1.2 Justifying Costs

In accordance with its own usual accounting and management principles and practices, each Party shall be solely responsible for justifying its costs with respect to the Project towards the Funding Authority. Neither the Coordinator nor any of the other Parties shall be in any way liable or responsible for such justification of costs towards the Funding Authority.

Each Party shall provide such justification promptly in time for preparing the appropriate submission to the European Commission. In accordance with the GA, these are:

1st Interim report to the EC: Month 15

2nd Interim report: Month 36

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7.1.3. Reports

Each Party shall provide the Coordinator, within the time period established by the Coordinator, with any information and documentation required for the preparation of the internal, periodic and final report. The Parties shall provide such information according with the next following calendar:

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Reports	Eligible period		Send to UAB	UAB sends to EU
Internal report	01/10/2015	31/05/2016	05/07/2016	
Interim report	1/10/2015	31/12/2016	20/01/2017	28/02/2017
Internal report	01/01/2017	31/12/2017	20/01/2018	
Final report	01/01/2017	30/09/2018	20/10/2018	30/11/2018

7.1.4 Funding Principles

A Party which spends less than its allocated share of the budget as set out in the Consortium Plan or – in case of reimbursement via unit costs - implements less units than foreseen in the Consortium Plan will be funded in accordance with its actual duly justified eligible costs only. A Party that spends more than its allocated share of the budget as set out in the Consortium Plan will be funded only in respect of duly justified eligible costs up to an amount not exceeding that share.

7.1.5 Financial Consequences of the termination of the participation of a Party

A Party leaving the consortium shall refund all payments it has received except the amount of contribution accepted by the Funding Authority or another contributor. Furthermore a Defaulting Party shall, within the limits specified in Section 5.2 of this Consortium Agreement, bear any reasonable and justifiable additional costs occurring to the other Parties in order to perform its and their tasks.

7.2 Budgeting

The budget set out in the Consortium Plan shall be valued in accordance with the usual accounting and management principles and practices of the respective Parties.

7.2.1 Payments

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Payments to Parties are the exclusive tasks of the Coordinator.

In particular, the Coordinator shall:

- a. notify the Party concerned promptly of the date and composition of the amount transferred to its bank account, giving the relevant references
- b. perform diligently its tasks in the proper administration of any funds and in maintaining financial accounts
- c. undertake to keep the Community financial contribution to the Project separated from its normal business accounts, its own assets and property, except if the Coordinator is a Public Body or is not entitled to do so due to statutory legislation.

With reference to Articles 21.2 and 21.3.2 of the Grant Agreement, no Party shall before the end of the Project receive more than its allocated share of the maximum grant amount from which the amounts retained by the Funding Authority for the Guarantee Fund and for the final payment have been deducted.

7.2.2 Payment schedule

The payment schedule, which contains the transfer of pre-financing and interim payments to Parties, will be handled according to the following:

Type of payment	% of EC Contribution	Date
Pre-financing	50 % of EC Contribution. An amount that corresponds to 5% of the maximum grant amount (see Article 5.1) is deducted from the pre-financing payment and transferred to the Guarantee Fund.	GA signed and not before of 10 days of the action starting date
Interim payment	According the amount reported and accepted by EC. Limit to the 90% of the maximum grant amount	within 90 days from receiving the periodic report
Final payment	Payment of the balance: The amount due as the balance is calculated by the EC by deducting the total amount of pre-financing and interim payments	within 90 days from receiving the final report

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Funding of costs included in the Consortium Plan will be paid to Parties after receipt from the Funding Authority without undue delay and in conformity with the provisions of the Grant Agreement. Costs accepted by the Funding Authority will be paid to the Party concerned

The Coordinator is entitled to withhold any payments due to a Party identified by a responsible Consortium Body to be in breach of its obligations under this Consortium Agreement or the Grant Agreement or to a Beneficiary which has not yet signed this Consortium Agreement.

The Coordinator is entitled to recover any payments already paid to a Defaulting Party. The Coordinator is equally entitled to withhold payments to a Party when this is suggested by or agreed with the Funding Authority.

Section 8: Results

8.0 Ownership of Results

Results are owned by the Party that generates them.

8.1 Joint ownership

Project partners shall have joint ownership of those results jointly generated by two or more beneficiaries and where it is not possible to (i) establish the respective contribution of each beneficiary, or (ii) separate them for the purpose of applying for, obtaining or maintaining their protection.

Unless otherwise agreed:

- each of the joint owners shall be entitled to use their jointly owned Results for non-commercial research activities on a royalty-free basis, and without requiring the prior consent of the other joint owner(s), and
- each of the joint owners shall be entitled to otherwise Exploit the jointly owned Results and to grant non-exclusive licenses to third parties (without any right to sub-license), if the other joint owners are given:
 - a. at least 45 calendar days advance notice; and
 - b. Fair and Reasonable compensation.

8.2 Transfer of Results

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8.2.1 Each Party may transfer ownership of its own Results following the procedures of the Grant Agreement Article 30.

8.2.2 It may identify specific third parties it intends to transfer the ownership of its Results to in Attachment (3) to this Consortium Agreement. The other Parties hereby waive their right to prior notice and their right to object to a transfer to listed third parties according to the Grant Agreement Article 30.1.

8.2.3 The transferring Party shall, however, at the time of the transfer, inform the other Parties of such transfer and shall ensure that the rights of the other Parties will not be affected by such transfer.

Any addition to Attachment (3) after signature of this Agreement requires a decision of the General Assembly.

8.2.4 The Parties recognize that in the framework of a merger or an acquisition of an important part of its assets, it may be impossible under applicable EU and national laws on mergers and acquisitions for a Party to give the full 45 calendar days prior notice for the transfer as foreseen in the Grant Agreement.

8.2.5 The obligations above apply only for as long as other Parties still have - or still may request - Access Rights to the Results.

8.3 Dissemination

8.3.1 Dissemination of own Results

8.3.1.1 During the Project and for a period of 1 year after the end of the Project, the dissemination of own Results by one or several Parties including but not restricted to publications and presentations, shall be governed by the procedure of Article 29.1 of the Grant Agreement subject to the following provisions.

Prior notice of any planned publication shall be given to the other Parties at least 45 calendar days before the publication. Any objection to the planned publication shall be made in accordance with the Grant Agreement in writing to the Coordinator and to the Party or Parties proposing the dissemination within 30 calendar days after receipt of the notice. If no objection is made within the time limit stated above, the publication is permitted.

8.3.1.2 An objection is justified if

- a. the protection of the objecting Party's Results or Background would be adversely affected

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- b. the objecting Party's legitimate academic or commercial interests in relation to the Results or Background would be significantly harmed.

The objection has to include a precise request for necessary modifications.

8.3.1.3 If an objection has been raised the involved Parties shall discuss how to overcome the justified grounds for the objection on a timely basis (for example by amendment to the planned publication and/or by protecting information before publication) and the objecting Party shall not unreasonably continue the opposition if appropriate measures are taken following the discussion.

The objecting Party can request a publication delay of not more than 90 calendar days from the time it raises such an objection. After 90 calendar days the publication is permitted, provided that Confidential Information of the objecting Party has been removed from the Publication as indicated by the objecting Party.

8.3.2 Dissemination of another Party's unpublished Results or Background

A Party shall not include in any dissemination activity another Party's Results or Background without obtaining the owning Party's prior written approval, unless they are already published.

8.3.3 Cooperation obligations

The Parties undertake to cooperate to allow the timely submission, examination, publication and defence of any dissertation or thesis for a degree which includes their Results or Background subject to the confidentiality and publication provisions agreed in this Consortium Agreement.

8.3.4 Use of names, logos or trademarks

Nothing in this Consortium Agreement shall be construed as conferring rights to use in advertising, publicity or otherwise the name of the Parties or any of their logos or trademarks without their prior written approval.

8.3.5 Publication consultation timetables and processes

Unless otherwise agreed in writing by the Consortium members, the Consortium establishes the following process for consultation regarding the publication of Consortium results

Type of publication	Consortium consultation	Consultation process
---------------------	-------------------------	----------------------

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Journal articles, working papers, book chapters, published conference papers	Circulate drafts 10 working days before submitted to peer-review	Consortium responds within 5 working days. No replies means accepted. Further changes / updates to be circulated to all members
Books	Circulate drafts 20 working days before submission deadline	Consortium responds within 10 working days. No replies means accepted. Further changes / updates to be circulated to all members
Blog posts, website content and press articles that deal with results (foreground) of the project	Circulate reference to the results in English among partners and draw attention to these results 3 working days before publishing content.	Consortium responds within 2 working days. No replies means accepted.

Consultation with the Consortium members must be made before the initial submission of the publication to peer-review and during peer-review (if applicable). Subsequent changes to drafts in response to Consortium comments can be simply be highlighted when further drafts are circulated to the Consortium members.

In all cases, the Consortium member responsible where the author carries out her activities is responsible for initiating the consultation process with the rest of the Consortium members. In each case, to reduce the burden of reading on the consortium members being consulted, the author should identify or highlight those passages where project results are referred to. If objections are raised by any member of the Consortium, the Steering Group will be responsible for clarifying / resolving the issues.

Section 9: Access Rights

9.1 Background included

9.1.1 In Attachment 1, the Parties have identified and agreed on the Background for the Project and have also, where relevant, informed each other that Access to specific Background is subject to legal restrictions or limits.

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Anything not identified in Attachment 1 shall not be the object of Access Right obligations regarding Background.

9.1.2 Any Party can propose to the General Assembly to modify its Background in Attachment 1.

9.2 General Principles

9.2.1 Each Party shall implement its tasks in accordance with the Consortium Plan and shall bear sole responsibility for ensuring that its acts within the Project do not knowingly infringe third party property rights.

9.2.2 Any Access Rights granted expressly exclude any rights to sublicense unless expressly stated otherwise.

9.2.3 Access Rights shall be free of any administrative transfer costs.

9.2.4 Access Rights are granted on a non-exclusive basis.

9.2.5 Results and Background shall be used only for the purposes for which Access Rights to it have been granted.

9.2.6 All requests for Access Rights shall be made in writing.

The granting of Access Rights may be made conditional on the acceptance of specific conditions aimed at ensuring that these rights will be used only for the intended purpose and that appropriate confidentiality obligations are in place.

9.2.7 The requesting Party must show that the Access Rights are Needed.

9.3 Access Rights for implementation

Access Rights to Results and Background Needed for the performance of the own work of a Party under the Project shall be granted on a royalty-free basis, unless otherwise agreed for Background in Attachment 1.

9.4 Access Rights for Exploitation

9.4.1 Access Rights to Results if Needed for Exploitation of a Party's own Results shall be granted on a royalty-free basis.

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9.4.2 Access Rights to Background if Needed for Exploitation of a Party's own Results, including for research on behalf of a third party, shall be granted on Fair and Reasonable conditions.

9.4.3 A request for Access Rights may be made up to twelve months after the end of the Project or, in the case of Section 9.7.2.1.2, after the termination of the requesting Party's participation in the Project.

9.5 Access Rights for Affiliated Entities

Affiliated Entities have Access Rights under the conditions of the Grant Agreement Articles 25.4 and 31.4.

Such Access Rights must be requested by the Affiliated Entity from the Party that holds the Background or Results. Alternatively, the Party granting the Access Rights may individually agree with the Party requesting the Access Rights to have the Access Rights include the right to sublicense to the latter's Affiliated Entities. Access Rights to Affiliated Entities shall be granted on Fair and Reasonable conditions and upon written bilateral agreement.

Affiliated Entities which obtain Access Rights in return fulfil all confidentiality and other obligations accepted by the Parties under the Grant Agreement or this Consortium Agreement as if such Affiliated Entities were Parties.

Access Rights may be refused to Affiliated Entities if such granting is contrary to the legitimate interests of the Party which owns the Background or the Results.

Access Rights granted to any Affiliated Entity are subject to the continuation of the Access Rights of the Party to which it is affiliated, and shall automatically terminate upon termination of the Access Rights granted to such Party.

Upon cessation of the status as an Affiliated Entity, any Access Rights granted to such former Affiliated Entity shall lapse.

Further arrangements with Affiliated Entities may be negotiated in separate agreements.

9.6 Additional Access Rights

The Parties agree to negotiate in good faith any additional Access Rights to Results as might be asked for by any Party, upon adequate financial conditions to be agreed.

9.7 Access Rights for Parties entering or leaving the consortium

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As regards Results developed before the accession of the new Party, the new Party will be granted Access Rights on the conditions applying for Access Rights to Background.

9.7.1 New Parties entering the consortium

As regards Results developed before the accession of the new Party, the new Party will be granted Access Rights on the conditions applying for Access Rights to Background.

9.7.2 Parties leaving the consortium

9.7.2.1 Access Rights granted to a leaving Party

9.7.2.1.1 Defaulting Party

Access Rights granted to a Defaulting Party and such Party's right to request Access Rights shall cease immediately upon receipt by the Defaulting Party of the formal notice of the decision of the General Assembly to terminate its participation in the consortium.

9.7.2.1.2 Non-defaulting Party

A non-defaulting Party leaving voluntarily and with the other Parties' consent shall have Access Rights to the Results developed until the date of the termination of its participation.

It may request Access Rights within the period of time specified in Section 9.4.3.

9.7.2.2 Access Rights to be granted by any leaving Party

Any Party leaving the Project shall continue to grant Access Rights pursuant to the Grant Agreement and this Consortium Agreement as if it had remained a Party for the whole duration of the Project.

9.8 Specific Provisions for Access Rights to Software

For the avoidance of doubt, the general provisions for Access Rights provided for in this Section 9 are applicable also to Software.

Parties' Access Rights to Software do not include any right to receive source code or object code ported to a certain hardware platform or any right to receive respective Software documentation in any particular form or detail, but only as available from the Party granting the Access Rights.

Section 10 Non-disclosure of information

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10.1 All information in whatever form or mode of communication, which is disclosed by a Party (the “Disclosing Party”) to any other Party (the “Recipient”) in connection with the Project during its implementation and which has been explicitly marked as “confidential” at the time of disclosure, or when disclosed orally has been identified as confidential at the time of disclosure and has been confirmed and designated in writing within 15 calendar days from oral disclosure at the latest as confidential information by the Disclosing Party, is “Confidential Information”.

10.2 The Recipients hereby undertake in addition and without prejudice to any commitment of non-disclosure under the Grant Agreement, for a period of 4 years after the end of the Project:

- a. not to use Confidential Information otherwise than for the purpose for which it was disclosed;
- b. not to disclose Confidential Information to any third party without the prior written consent by the Disclosing Party;
- c. to ensure that internal distribution of Confidential Information by a Recipient shall take place on a strict need-to-know basis; and
- d. to return to the Disclosing Party on demand all Confidential Information which has been supplied to or acquired by the Recipients including all copies thereof and to delete all information stored in a machine readable form. The Recipients may keep a copy to the extent it is required to keep, archive or store such Confidential Information because of compliance with applicable laws and regulations or for the proof of on-going obligations.

10.3 The Recipients shall be responsible for the fulfilment of the above obligations on the part of their employees or third parties involved in the Project and shall ensure that they remain so obliged, as far as legally possible, during and after the end of the Project and/or after the termination of the contractual relationship with the employee or third party.

10.4 The above shall not apply for disclosure or use of Confidential Information, if and in so far as the Recipient can show that:

- a. the Confidential Information becomes publicly available by means other than a breach of the Recipient’s confidentiality obligations;
- b. the Disclosing Party subsequently informs the Recipient that the Confidential Information is no longer confidential;
- c. the Confidential Information is communicated to the Recipient without any obligation of confidence by a third party who is to the best knowledge of the Recipient in lawful possession thereof and under no obligation of confidence to the Disclosing Party;
- d. the disclosure or communication of the Confidential Information is foreseen by provisions of the Grant Agreement;
- e. the Confidential Information, at any time, was developed by the Recipient completely independently of any such disclosure by the Disclosing Party; or
- f. the Confidential Information was already known to the Recipient prior to disclosure or
- g. the Recipient is required to disclose the Confidential Information in order to comply with applicable laws or regulations or with a court or administrative order, subject to the provision Section 10.7 hereunder.

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10.5 The Recipient shall apply the same degree of care with regard to the Confidential Information disclosed within the scope of the Project as with its own confidential and/or proprietary information, but in no case less than reasonable care.

10.6 Each Party shall promptly advise the other Party in writing of any unauthorised disclosure, misappropriation or misuse of Confidential Information after it becomes aware of such unauthorised disclosure, misappropriation or misuse.

10.7 If any Party becomes aware that it will be required, or is likely to be required, to disclose Confidential Information in order to comply with applicable laws or regulations or with a court or administrative order, it shall, to the extent it is lawfully able to do so, prior to any such disclosure

- a. notify the Disclosing Party, and
- b. comply with the Disclosing Party's reasonable instructions to protect the confidentiality of the information.

Section 11: Miscellaneous

11.1 Attachments, inconsistencies and severability

This Consortium Agreement consists of this core text and

Attachment 1 (Background included)

Attachment 2 (Accession document)

Attachment 3 (List of Third Parties for simplified transfer according to Section 8.2.2)

In case the terms of this Consortium Agreement are in conflict with the terms of the Grant Agreement, the terms of the latter shall prevail. In case of conflicts between the attachments and the core text of this Consortium Agreement, the latter shall prevail.

Should any provision of this Consortium Agreement become invalid, illegal or unenforceable, it shall not affect the validity of the remaining provisions of this Consortium Agreement. In such a case, the Parties concerned shall be entitled to request that a valid and practicable provision be negotiated which fulfils the purpose of the original provision.

11.2 No representation, partnership or agency

Except as otherwise provided in Section 6.4.4, no Party shall be entitled to act or to make legally binding declarations on behalf of any other Party or of the consortium. Nothing in this

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Consortium Agreement shall be deemed to constitute a joint venture, agency, partnership, interest grouping or any other kind of formal business grouping or entity between the Parties.

11.3 Notices and other communication

Any notice to be given under this Consortium Agreement shall be in writing to the addresses and recipients as listed in the most current address list kept by the Coordinator.

Formal notices:

If it is required in this Consortium Agreement (Sections 4.2, 9.7.2.1.1, and 11.4) that a formal notice, consent or approval shall be given, such notice shall be signed by an authorised representative of a Party and shall either be served personally or sent by mail with recorded delivery or telefax with receipt acknowledgement.

Other communication:

Other communication between the Parties may also be effected by other means such as e-mail with acknowledgement of receipt, which fulfils the conditions of written form.

Any change of persons or contact details shall be notified immediately by the respective Party to the Coordinator. The address list shall be accessible to all concerned.

11.4 Assignment and amendments

Except as set out in Section 8.2, no rights or obligations of the Parties arising from this Consortium Agreement may be assigned or transferred, in whole or in part, to any third party without the other Parties' prior formal approval.

Amendments and modifications to the text of this Consortium Agreement, other than changes to Attachment I (Background Included) and Attachment 3 approved by the General Assembly pursuant to Section 6.3.6 require a separate written agreement to be signed between all Parties.

11.5 Mandatory national law

Nothing in this Consortium Agreement shall be deemed to require a Party to breach any mandatory statutory law under which the Party is operating.

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11.6 Language

This Consortium Agreement is drawn up in English, which language shall govern all documents, notices, meetings, arbitral proceedings and processes relative thereto.

11.7 Applicable law

This Consortium Agreement shall be construed in accordance with and governed by the laws of Belgium excluding its conflict of law provisions, except as regards *UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION -UNESCO*.

11.8 Settlement of disputes

The parties shall endeavour to settle their disputes amicably.

Should a dispute arise between the Parties concerning the validity, the interpretation and/or the implementation of this Consortium Agreement, they will try to solve it through the following process:

- all issues and conflicts will first be reported to the Coordinator, who will mediate the dispute (unless the coordinator is one of the parties, in which case the Steering Group will name another party to mediate)

If the conflict cannot be resolved by the Coordinator within the period of 30 days, the Coordinator will remit the dispute to the Steering Group.

If the conflict is not resolved satisfactorily by the Steering Group within a further 30 day period, the parties agree to submit any dispute, controversy or claim arising under, out of or relating to this contract and any subsequent amendments of this contract, including, without limitation, its formation, validity, binding effect, interpretation, performance, breach or termination, as well as non-contractual claims, to mediation in accordance with the WIPO Mediation Rules. The place of mediation shall be Brussels unless otherwise agreed upon. The language to be used in the mediation shall be English unless otherwise agreed upon.

In the case of disputes where UNESCO is involved the above mentioned paragraph is not valid and the following will apply: all disputes arising out of or in connection with the

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Version 1.1, May 2014

present Agreement shall be settled by mutual understanding. However, if no amicable settlement can be arrived at, any dispute shall be arbitrated according to the rules defined by UNCITRAL (United Nations Commission on International Trade Law)

If, and to the extent that, any such dispute, controversy or claim has not been settled pursuant to the mediation within 60 calendar days of the commencement of the mediation, it shall, upon the filing of a Request for Arbitration by either Party, be referred to and finally determined by arbitration in accordance with the WIPO Expedited Arbitration Rules. Alternatively, if, before the expiration of the said period of 60 calendar days, either Party fails to participate or to continue to participate in the mediation, the dispute, controversy or claim shall, upon the filing of a Request for Arbitration by the other Party, be referred to and finally determined by arbitration in accordance with the WIPO Expedited Arbitration Rules. The place of arbitration shall be Brussels unless otherwise agreed upon. The language to be used in the arbitral proceedings shall be English unless otherwise agreed upon.

If, and to the extent that, any such dispute, controversy or claim has not been settled pursuant to the mediation within 60 calendar days of the commencement of the mediation, the courts of Brussels shall have exclusive jurisdiction.

For the following beneficiary:

- UNITED NATIONS EDUCATIONAL, SCIENTIFIC AND CULTURAL ORGANIZATION - UNESCO disputes with the Agency relating to the Agreement must — if they cannot be settled amicably — be referred to arbitration.

The Permanent Court of Arbitration Optional Rules for Arbitration Involving International Organisations and States in force at the date of entry into force of the Agreement will apply.

The appointing authority will be the Secretary-General of the Permanent Court of Arbitration following a written request submitted by either party.

The arbitration proceedings must take place in Brussels and the language used in the arbitral proceedings will be English.

The arbitral award will be binding on all parties and will not be subject to appeal.

Section 12 Signatures

AS WITNESS:

The Parties have caused this Consortium Agreement to be duly signed by the undersigned authorised representatives in separate signature pages the day and year first above written.

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1. Universitat Autònoma de Barcelona (UAB) (the Coordinator)

Stamp and Signature

Name:

Title:

Date:

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2. The Big Van Theory

Stamp and Signature

Name:

Title:

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Date:

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3. University of Bristol

Stamp and Signature

Name:

Title:

Date:

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4. **Science Made Simple**

Stamp and Signature

Name:

Title:

Date:

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5. University of Warwick

Stamp and Signature

Name:

Title:

Date:

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6. **L'Atelier des Jours à Venir**

Stamp and Signature

Name:

Title:

Date:

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7. **Les Atomes Crochus**

Stamp and Signature

Name:

Title:

Date:

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8. **United Nations Educational, Scientific Cultural Organisation (UNESCO)**

Stamp and Signature

Name:

Title:

Date:

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9. European Science Events Association (EUSEA)

Stamp and Signature

Name:

Title:

Date:

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Attachment 1: Background included

According to the Grant Agreement (Article 24) Background is defined as “data, know-how or information (...) that is needed to implement the action or exploit the results”. Because of this need, Access Rights have to be granted in principle, but parties must identify and agree amongst them on the Background for the project. This is the purpose of this attachment.

PARTY 1

As to **UAB** it is agreed between the parties that, to the best of their knowledge,

No data, know-how or information of UAB shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or exploitation of that other Party's Results (Article 25.3 Grant Agreement).

PARTY 2

As to **TBVT**, it is agreed between the parties that, to the best of their knowledge,

No data, know-how or information of TBVT shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or exploitation of that other Party's Results (Article 25.3 Grant Agreement).

PARTY 3

As to **UoB**, it is agreed between the parties that, to the best of their knowledge,

No data, know-how or information of UoB shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or exploitation of that other Party's Results (Article 25.3 Grant Agreement).

PARTY 4

As to **SMS**, it is agreed between the parties that, to the best of their knowledge,

No data, know-how or information of SMS shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or exploitation of that other Party's Results (Article 25.3 Grant Agreement).

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Version 1.1, May 2014

PARTY 5

As to **UoW**, it is agreed between the parties that, to the best of their knowledge,

No data, know-how or information of UoW shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or exploitation of that other Party's Results (Article 25.3 Grant Agreement).

PARTY 6

As to **AJA**, it is agreed between the parties that, to the best of their knowledge,

No data, know-how or information of AJA shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or exploitation of that other Party's Results (Article 25.3 Grant Agreement).

PARTY 7

As to **LAC**, it is agreed between the parties that, to the best of their knowledge,

No data, know-how or information of LAC shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or exploitation of that other Party's Results (Article 25.3 Grant Agreement).

PARTY 8

No data, know-how or information of UNESCO shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or exploitation of that other Party's Results (Article 25.3 Grant Agreement).

PARTY 9

No data, know-how or information of EUSEA shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or exploitation of that other Party's Results (Article 25.3 Grant Agreement).

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Attachment 2: Accession document

ACCESSION

of a new Party to

[Acronym of the Project] Consortium Agreement, version [..., YYYY-MM-DD]

[OFFICIAL NAME OF THE NEW PARTY AS IDENTIFIED IN THE Grant Agreement]

hereby consents to become a Party to the Consortium Agreement identified above and accepts all the rights and obligations of a Party starting [date].

[OFFICIAL NAME OF THE COORDINATOR AS IDENTIFIED IN THE Grant Agreement]

hereby certifies that the consortium has accepted in the meeting held on [date] the accession of [the name of the new Party] to the consortium starting [date].

This Accession document has been done in 2 originals to be duly signed by the undersigned authorised representatives.

[Date and Place]

[INSERT NAME OF THE NEW PARTY]

Signature(s)

Name(s)

Title(s)

[Date and Place]

[INSERT NAME OF THE COORDINATOR]

Signature(s)

Name(s)

Title(s)

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Attachment 3: List of Third Parties for simplified transfer according to Section 8.2.2.

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